

State Report : Uttar Pradesh

(Key Findings at a Glance)

Submitted to :

National Commission for
Protection of Child Rights,
5th Floor, Chanderlok Building,
36, Janpath,
New Delhi - 110001

Submitted by :

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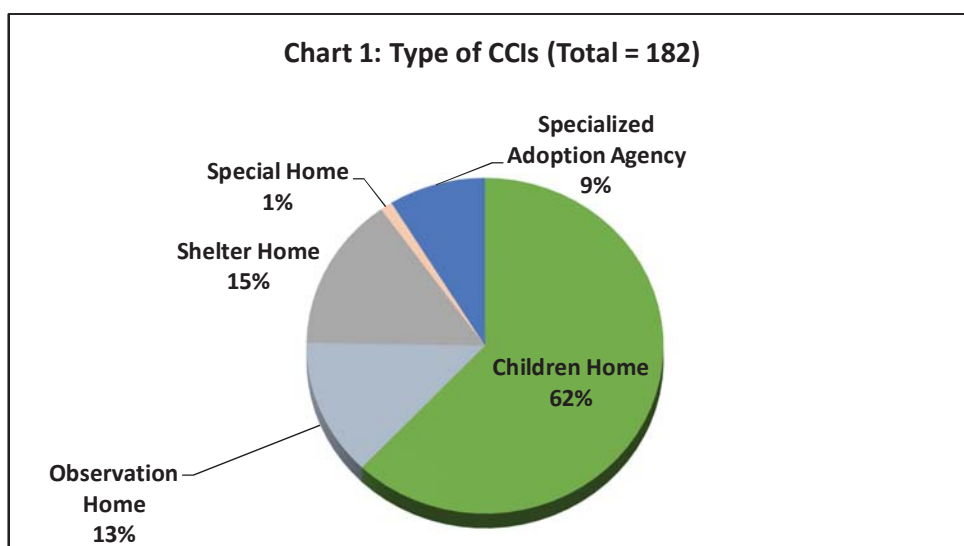
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1. Type of CCIs (Total = 182)

There are five types of Juvenile Justice Institutions/ Child Care Institutions (CCI) that exist in India – Children’s Home/ Fit Facility, Shelter Home/ Open Shelter, Specialized Adoption Agency, Observation Home, and Special Home/ Place of Safety. The following table (Table 1) gives the CCI wise break-up for the state of Uttar Pradesh.

CCI Type	Count	%
Children Home	113	62.1%
Observation Home	24	13.2%
Shelter Home	27	14.8%
Special Home	2	1.1%
Specialized Adoption Agency	16	8.8%

Based on observation and records of CCIs.



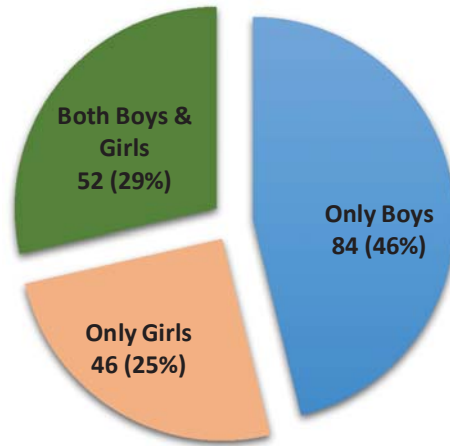
2. Distribution of CCIs by the Gender of Children

Uttar Pradesh has a total of 182 CCIs for accommodating children; either girls or boys or both. The Table below (Table 2) gives details of the distribution of CCIs by the gender of the children.

Gender Type	Number	Percent
Only Boys	84	46.2
Only Girls	46	25.3
Both Boys & Girls	52	28.6
Total	182	100.0

Based on observation and records of CCIs.

Chart 2: Distribution of CCIs by the Gender of Children



3. Type of Management of CCI

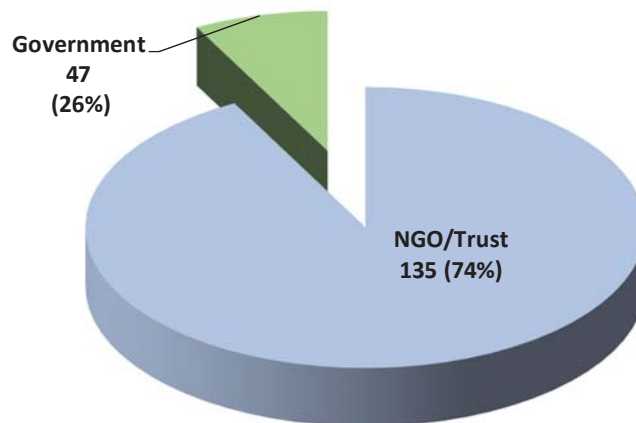
As per the provisions laid out under Section 50(1) of JJ Act 2015, CCIs could be established by every district or group of districts, either by itself or through voluntary or non-governmental organizations. The CCI wise break-up on basis of type of management is tabulated below (Table 3).

Table 3: Type of Management of CCI

Management Type	Number	Percent
NGO, Trust	135	74.2
Government	47	25.8
Total	182	100.0

Based on responses of the management of CCIs.

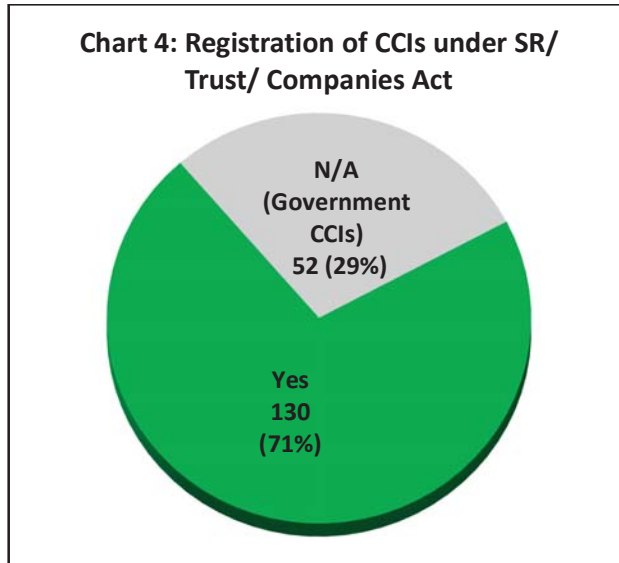
Chart 3: Type of Management of CCI



4. Registration of CCI's under SR/ Trust/ Companies Act

Response	Number	Percent
Yes	130	71.4
N/A* (Government CCI's)	52	28.6
Total	182	100.0

*: Government CCI's need not be registered under SR/ Trust/ Companies Act.
Based on records and responses given by the management of CCI's.

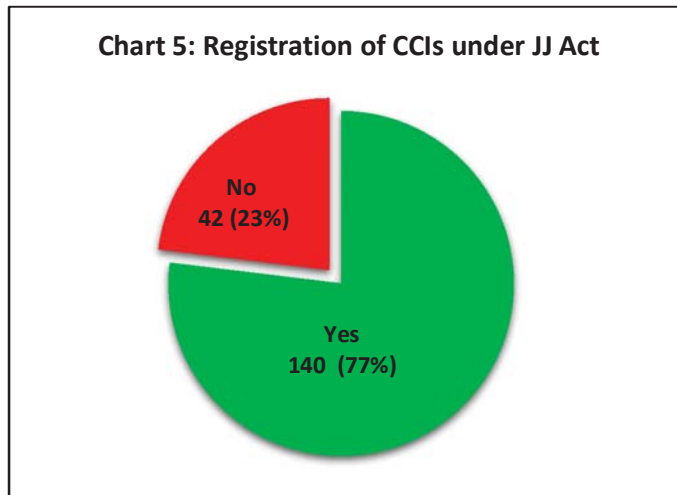


5. Registration of CCI's under JJ Act

According to Rule 21 (JJ Model Rules, 2016) and Section 41 (JJ Act, 2015), at the time of registration, the State Government shall determine and record capacity & purpose of the institution; and shall register the institution as a Children's Home/Open Shelter/Specialized Adoption Agency/ Observation Home/ Special Home (Place of Safety), as the case may be.

Response	Number	Percent
Yes	140	76.9
No	42	23.1
Total	182	100.0

Based on records and responses given by the management of CCI's.

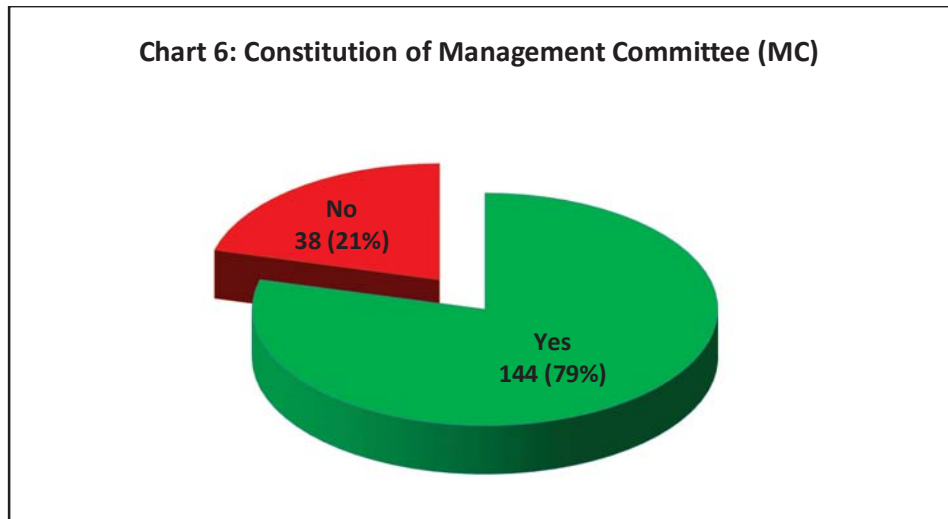


6. Constitution of Management Committee (MC):

According to the JJ Model Rules, 2016; Rule 39(1), every Child Care Institution shall have a Management Committee for the management of the institution and monitoring the progress of every child.

Response	Number	Percent
Yes	144	79.1
No	38	20.9
Total	182	100.0

Based on responses given by the management of CCIs.

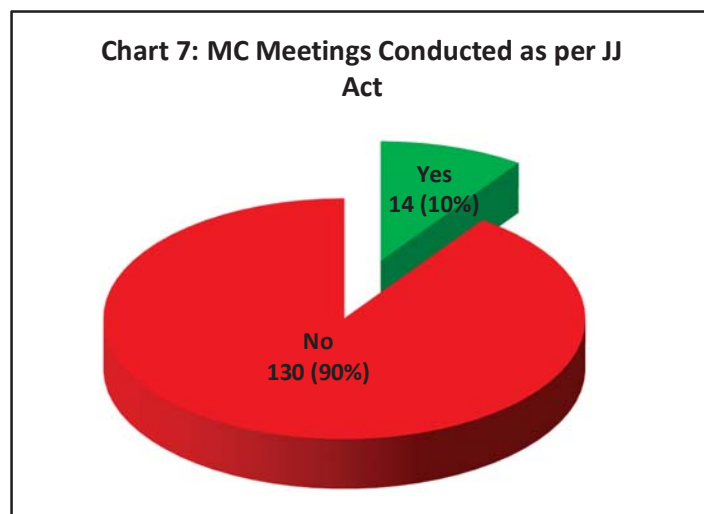


7. MC Meetings Conducted as per JJ Act

According to the JJ Model Rules, 2016; Rule 39(4), the Management Committee shall meet at least once every month to consider and review the functioning of Child Care Institution.

MC Meetings Conducted as per JJ Act	Number	Percent
Yes	14	9.7
No	130	90.3
Total	144	100.0

*: Total number of CCIs where MC was formed.
Based on responses given by the management of CCIs.

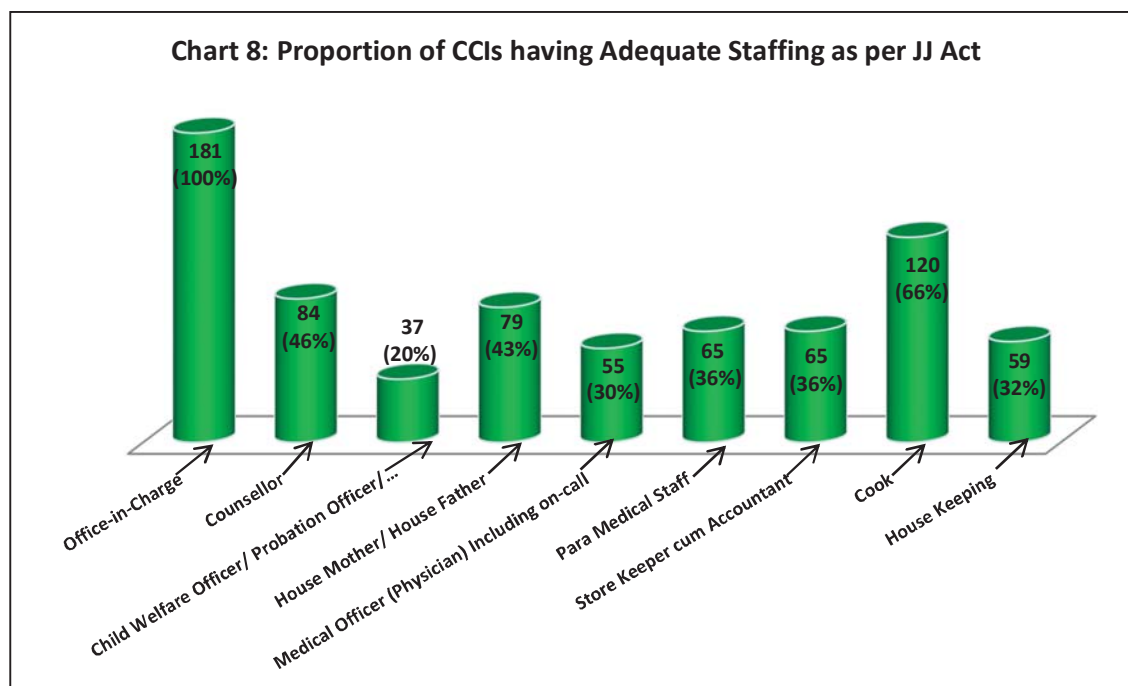


8. Proportion of CCIs having Adequate Staffing as per JJ Act

According to the JJ Model Rules, 2016, staffing pattern for a Child Care Institution has been specified in Rule 26(7). The number of posts in each category of staff shall be fixed on the basis of capacity of the institution and shall proportionately increase with the increase in the capacity of the institution [Rule 26(3)]. Abiding by these rules, the staffing pattern was thus adjusted for Child Care Institutions covered in this study.

Staff Personnel	Yes	
	Count	%
Office-in-Charge	181	99.5%
Counsellor	84	46.2%
Child Welfare Officer/ Probation Officer/ Case Worker	37	20.3%
House Mother/ House Father	79	43.4%
Medical Officer (Physician) Including on-call	55	30.2%
Para Medical Staff	65	35.7%
Store Keeper cum Accountant	65	35.7%
Cook	120	65.9%
House Keeping	59	32.4%

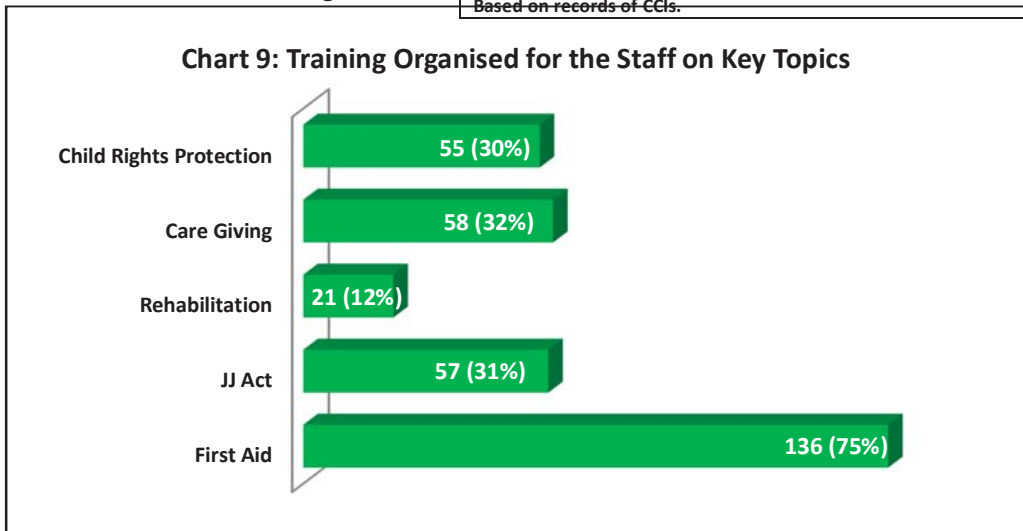
The total of percentages may exceed 100 due to multiple responses.
Based on observation and records of CCIs.



9. Training Organized for the Staff on Key Topics

According to Rule 89(1) [JJ Model Rules, 2016], the State Government shall provide for training of personnel appointed under the Act and the rules and each category of staff, keeping in view with their statutory responsibilities and specific job requirements. In addition, Rule 34[3(vi)] suggests that every institution to have first aid kit and all staff to be trained in handling first aid.

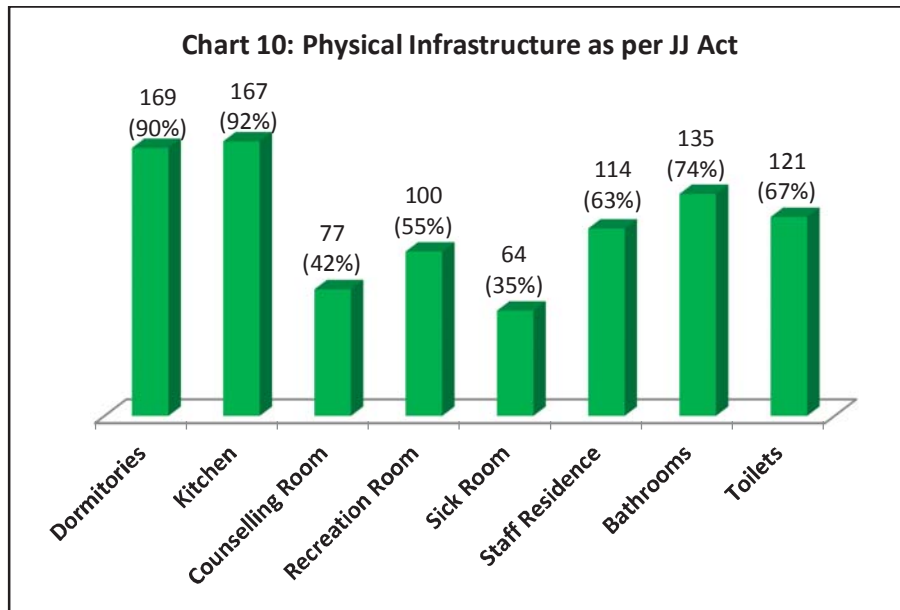
Key Topics	Yes	
	Count	%
Child Rights Protection	55	30.2%
Care Giving	58	31.9%
Rehabilitation	21	11.5%
JJ Act	57	31.3%
First Aid	136	74.7%
The total of percentages may exceed 100 due to multiple responses. Based on records of CCIs.		



10. Physical Infrastructure as per JJ Act

According to Rule 10(i) (JJ Model Rules, 2016), all institutions shall make provision of first-aid kit, fire extinguishers in kitchen, recreation room, dormitories, store rooms and counseling room.

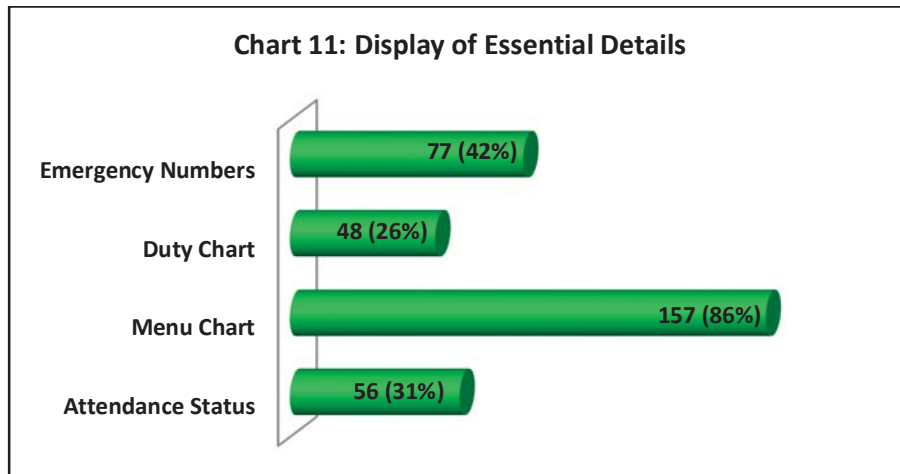
Physical Infrastructure	Yes	
	Count	%
Dormitories	163	89.6%
Kitchen	167	91.8%
Counseling Room	77	42.3%
Recreation Room	100	54.9%
Sick Room	64	35.2%
Staff Residence	114	62.6%
Bathrooms	135	74.2%
Toilets	121	66.5%
The total of percentages may exceed 100 due to multiple responses. Based on observation of CCIs.		



11. Display of Essential Details

- According to Rule 67[5(iii)] (JJ Model Rules, 2016), a duty roster shall be prepared and displayed at some prominent place in the premises of the Child Care Institution by the Person-in-charge.
- According to Rule 33(5) (JJ Model Rules, 2016), the menu for the day shall be prepared in consultation with the Children’s Committee and shall be displayed in the dining hall.
- According to Rule 77(1) (JJ Model Rules, 2016), the Person-In-Charge shall maintain registers and forms under the JJ Model rules, 2016.
- According to Rule 36(5) (JJ Model Rules, 2016), regularity of the education programme and attendance of the children shall be ensured.

Table 11: Display of Essential Details		
Essential Details	Yes	
	Count	%
Emergency Numbers	77	42.3%
Duty Chart	48	26.4%
Menu Chart	157	86.3%
Attendance Status	56	30.8%
The total of percentages may exceed 100 due to multiple responses.		
Based on observation of CCIs.		



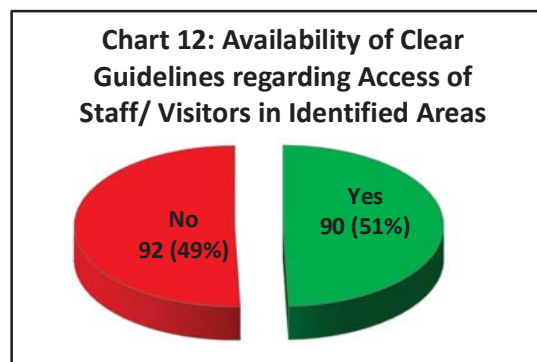
12. Availability of Clear Guidelines regarding Access of Staff/ Visitors in Identified Areas

According to Rule 78(5), the Person-In-Charge shall take all steps to inform the visitors to maintain the dignity of the children.

Table 12: Availability of Clear Guidelines regarding Access of Staff/ Visitors in Identified Areas

Response	Number	Percent
Yes	90	49.5
No	92	50.5
Total	182	100.0

Based on observation of CCIs.



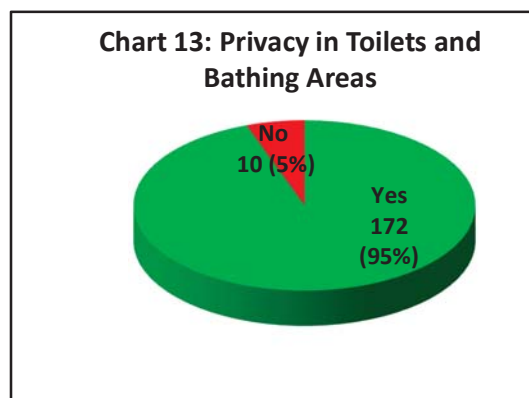
13. Privacy in Toilets and Bathing Areas

According to Rule 67(12) [JJ Model Rules, 2016], Closed Circuit Television cameras may be installed at all key points such as all entry and exit points to the Child Care Institution, reception, corridors, kitchen, pantry or store room, dormitories, entry and exit points of the washrooms with due regard to the privacy and dignity of the children.

Table 13: Privacy in Toilets and Bathing Areas

Response	Number	Percent
Yes	172	94.5
No	10	5.5
Total	182	100.0

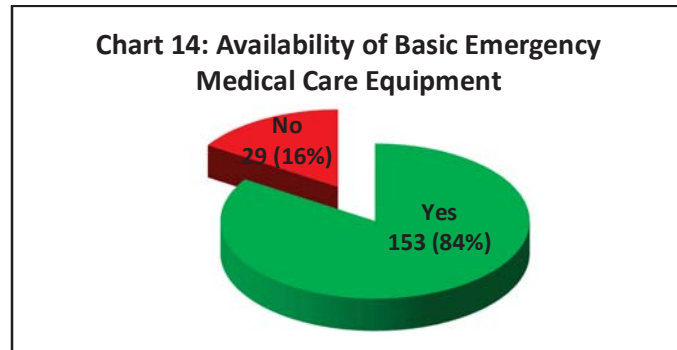
Based on observation of CCIs.



14. Availability of Basic Emergency Medical Care Equipment

According to Rule 34(6) [JJ Model Rules, 2016], the State Government through the District Child Protection Unit shall make provisions for those children diagnosed with special problems such as hormonal problems, immuno-compromised diseases, physical and mental disabilities on the recommendation of the medical officer.

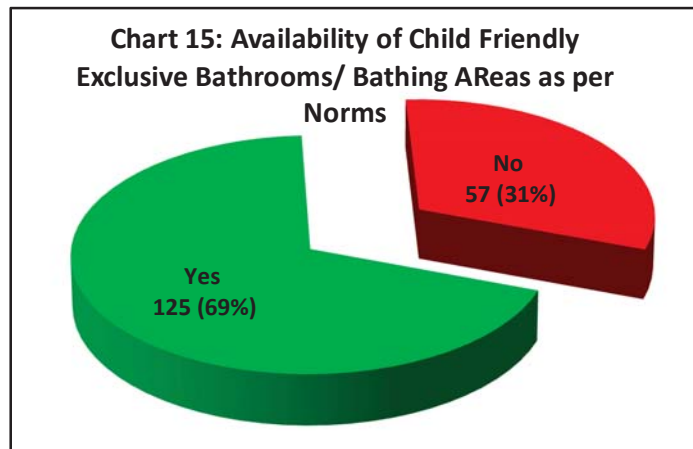
Response	Number	Percent
Yes	153	84.1
No	29	15.9
Total	182	100.0
Based on observation of CCIs.		



15. Availability of Child Friendly Exclusive Bathrooms/ Bathing Areas as per Norms

According to Rule 31[1(viii)] (JJ Model Rules, 2016), every Child Care Institution shall have sufficient number of well-lit and airy bathrooms with proper fittings in the proportion of at least one bath room for ten children.

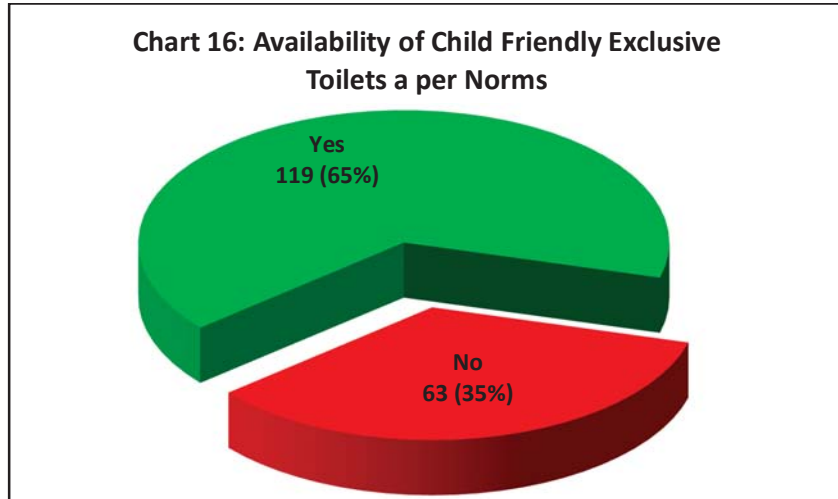
Response	Number	Percent
Yes	125	68.7
No	57	31.3
Total	182	100.0
Based on observation of CCIs.		



16. Availability of Child Friendly Exclusive Toilets as per Norms

According to Rule 31[1(vii)] (JJ Model Rules, 2016), every Child Care Institution shall have sufficient number of well-lit and airy toilets with proper fittings in the proportion of at least one toilet for seven children.

Response	Number	Percent
Yes	119	65.4
No	63	34.6
Total	182	100.0
Based on observation of CCIs.		



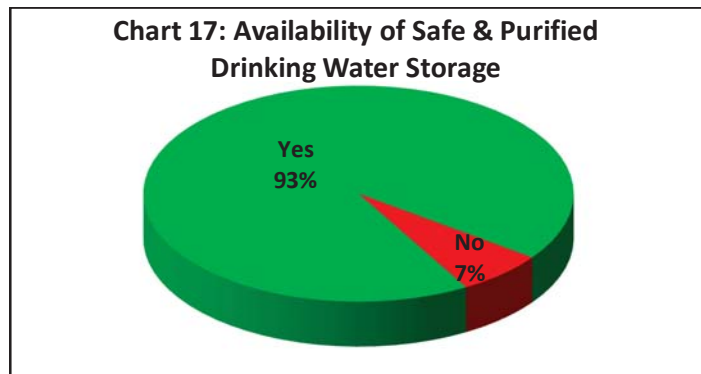
17. Availability of Safe & Purified Drinking Water Storage

According to Rule 31[1(i)] (JJ Model Rules, 2016), every Child Care Institution shall have sufficient treated drinking water; water filters or RO shall be installed at multiple locations in the premises for easy access such as kitchen, dormitory, recreational rooms etc.

Table 17: Availability of Safe & Purified Drinking Water Storage

Response	Number	Percent
Yes	170	93.4
No	12	6.6
Total	182	100.0

Based on observation of CCIs.



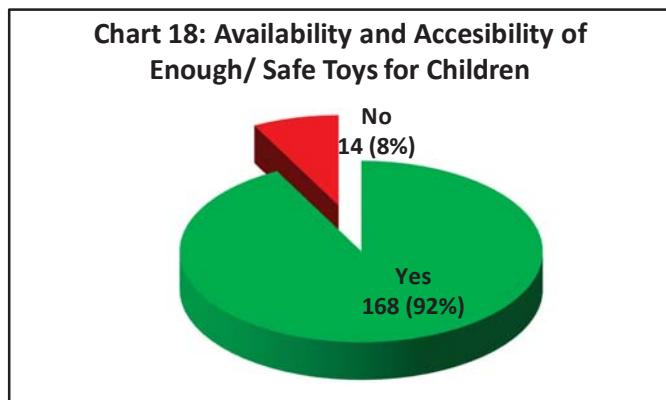
18. Availability and Accessibility of Enough/ Safe Toys for Children

According to Rule 38(2) (JJ Model Rules, 2016), sufficient space shall be made available for outdoor sports and games.

Table 18: Availability and Accessibility of Enough/ Safe Toys for Children

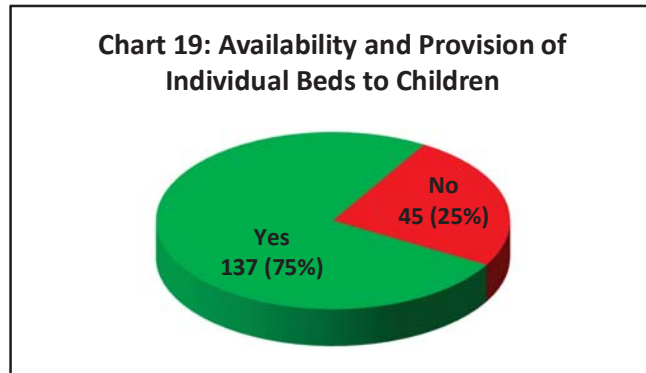
Response	Number	Percent
Yes	168	92.3
No	14	7.7
Total	182	100.0

Based on observation of CCIs.



19. Availability and Provision of Individual Beds to Children

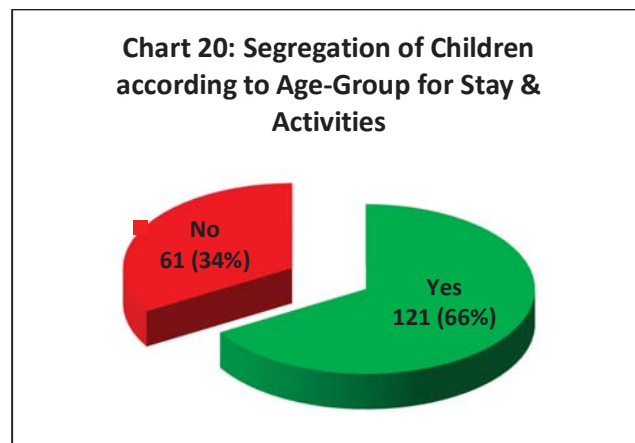
Table 19: Availability and Provision of Individual Beds to Children		
Response	Number	Percent
Yes	137	75.3
No	45	24.7
Total	182	100.0
Based on observation of CCIs.		



20. Segregation of Children according to Age-Group for Stay & Activities

According to Rule 39(2) (JJ Model Rules, 2016), in order to ensure proper care and treatment as per the individual care plans, children shall be grouped on the basis of age, nature of offence or kind of care required, physical and mental health and length of stay.

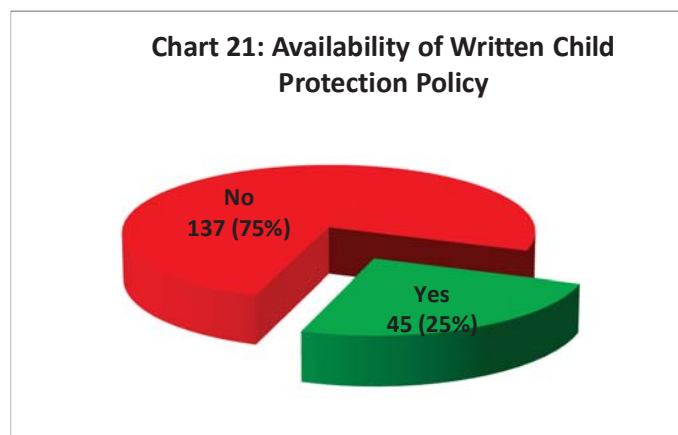
Table 20: Segregation of Children according to Age-Group for Stay & Activities		
Response	Number	Percent
Yes	121	66.5
No	61	33.5
Total	182	100.0
Based on observation of CCIs.		



21. Availability of Written Child Protection Policy

According to Rule 29(3) (JJ Model Rules, 2016), every Child Care Institution shall keep a copy of the Act and the rules framed by the State Government, for use by both the staff and children residing therein.

Table 21: Availability of Written Child Protection Policy		
Response	Number	Percent
Yes	45	24.7
No	137	75.3
Total	182	100.0
Based on observation of CCIs.		

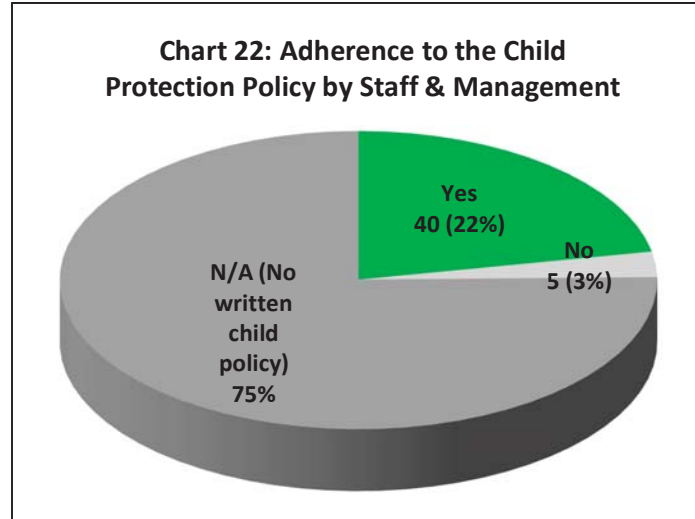


22. Adherence to the Child Protection Policy by Staff & Management

According to Rule 29(3) (JJ Model Rules, 2016), every Child Care Institution shall keep a copy of the Act and the rules framed by the State Government, for use by both the staff and children residing therein.

Response	Number	Percent
Yes	40	22.0
No	5	2.7
N/A (No written child policy)	137	75.3
Total	182	100.0

Based on responses given by the management of CCIs.

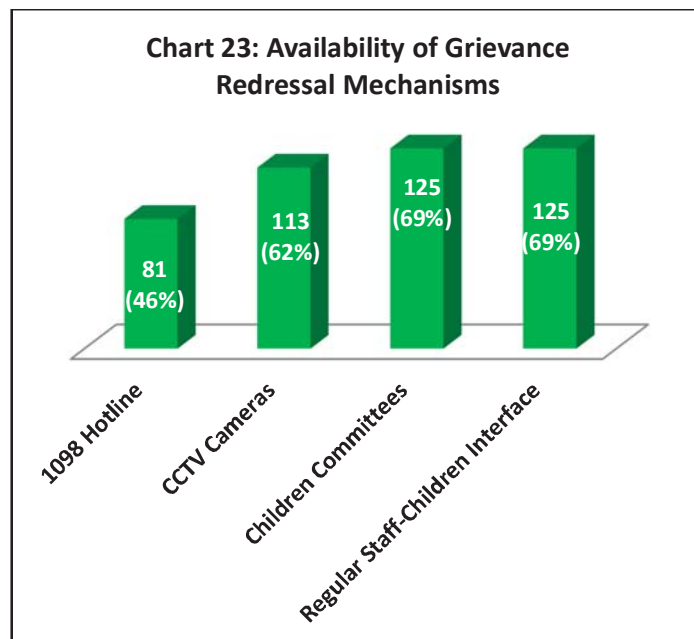


23. Availability of Grievance Redressal Mechanisms

According to Rule 39(5) (JJ Model Rules, 2016), the Management Committee shall set up a complaint and redressal mechanism in every Child Care Institution and a Children’s Suggestion Box shall be installed in every institution at a place easily accessible to children away from the office set up and closer to the residence or rooms or dormitories of the children.

Grievance Redressal Mechanisms	Yes	Yes
	Count	%
1098 Hotline	81	44.5%
CCTV Cameras	113	62.1%
Children Committees	125	68.7%
Regular Staff-Children Interface	125	68.7%

The total of percentages may exceed 100 due to multiple responses.
Based on observation and records of CCIs.



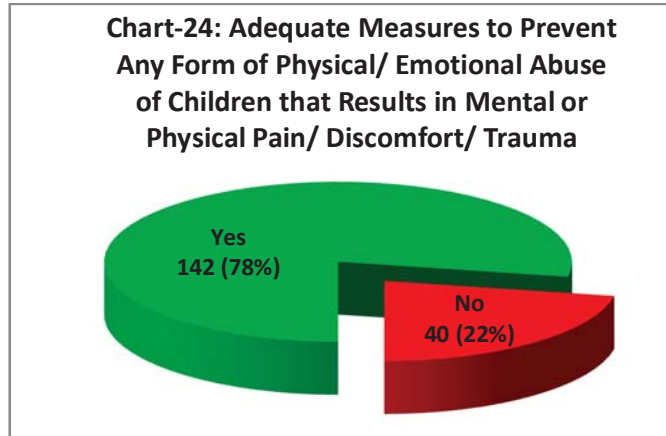
24. Adequate Measures to Prevent Any Form of Physical/ Emotional Abuse of Children that Results in Mental or Physical Pain/ Discomfort/ Trauma

According to Rule 76(1) (JJ Model Rules, 2016), every Child Care Institution shall evolve a system of ensuring that there is no abuse, neglect and maltreatment and shall include the staff who is aware of what constitutes abuse, neglect and maltreatment, and their early indication and how to respond to these abuses.

Table 24: Adequate Measures to Prevent Any Form of Physical/ Emotional Abuse of Children that Results in Mental or Physical Pain/ Discomfort/ Trauma

Response	Number	Percent
Yes	142	78.0
No	40	22.0
Total	182	100.0

Based on observation and records of CCIs.



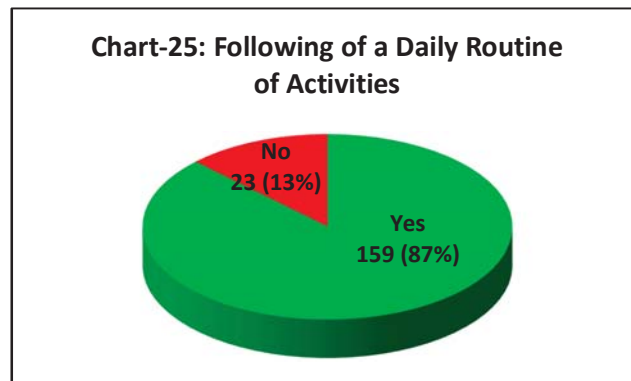
25. Following of a Daily Routine of Activities

According to Rule 32(1)/ Rule 69 [L(2)] (JJ Model Rules, 2016), every Child Care Institution shall have a daily routine for children developed in consultation with the Children’s Committees, which shall be prominently displayed at various places within the Child Care Institution.

Table 25: Following of a Daily Routine of Activities

Response	Number	Percent
Yes	159	87.4
No	23	12.6
Total	182	100.0

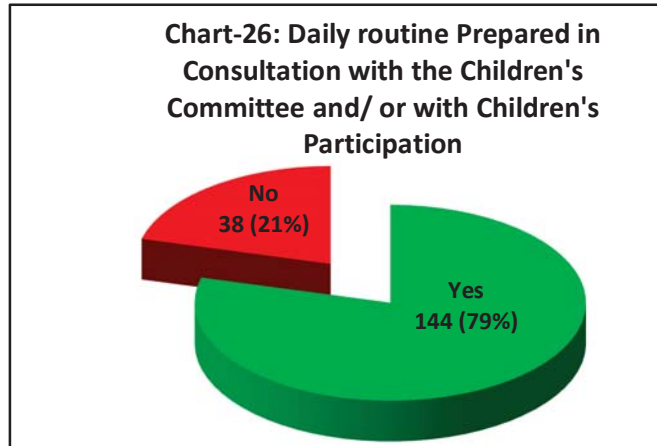
Based on observation of CCIs.



26. Daily routine Prepared in Consultation with the Children's Committee and/ or with Children's Participation

According to Rule 32(1)/ Rule 69 [L(2)] (JJ Model Rules, 2016), every Child Care Institution shall have a daily routine for children developed in consultation with the Children’s Committees, which shall be prominently displayed at various places within the Child Care Institution.

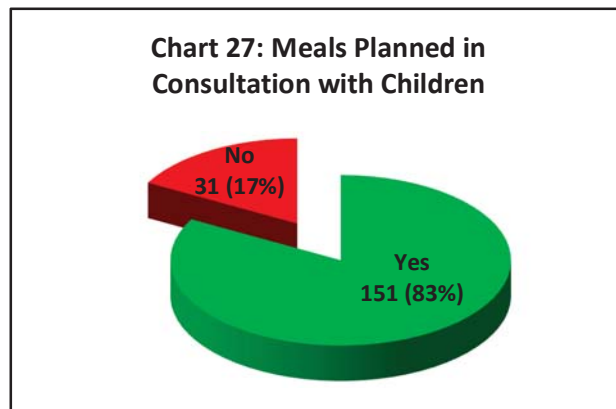
Table 26: Daily routine Prepared in Consultation with the Children's Committee and/ or with Children's Participation		
Response	Number	Percent
Yes	144	79.1
No	38	20.9
Total	182	100.0
Based on responses given by the management of CCIs.		



27. Meals Planned in Consultation with Children

According to Rule 33(5) (JJ Model Rules, 2016), the menu for the day shall be prepared in consultation with the Children’s Committee and shall be displayed in the dining hall.

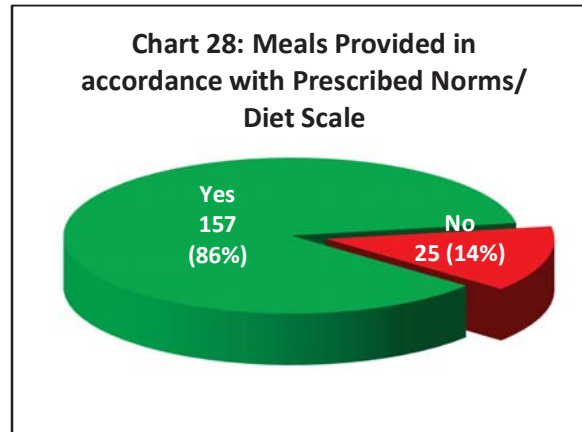
Table 27: Meals Planned in Consultation with Children		
Response	Number	Percent
Yes	151	83.0
No	31	17.0
Total	182	100.0
Based on observation and responses given by the management of CCIs.		



28. Meals Provided in accordance with Prescribed Norms/ Diet Scale

According to Rule 33(1) (JJ Model Rules, 2016), nutrition and diet scale shall be followed by the Child Care Institutions.

Table 28: Meals Provided in accordance with Prescribed Norms/ Diet Scale		
Response	Number	Percent
Yes	157	86.3
No	25	13.7
Total	182	100.0
Based on observation and responses given by the management of CCIs.		

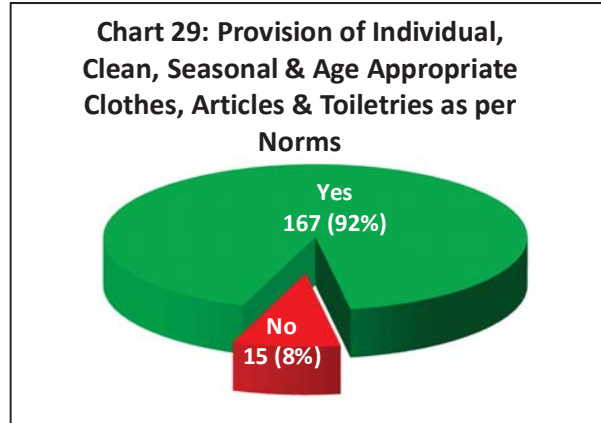


29. Provision of Individual, Clean, Seasonal & Age Appropriate Clothes, Articles & Toiletries as per Norms

According to Rule 30(1) (JJ Model Rules, 2016), minimum standards for clothing and bedding shall be as per the scale and climatic conditions.

Response	Number	Percent
Yes	167	91.8
No	15	8.2
Total	182	100.0

Based on responses given by the management of CCIs.

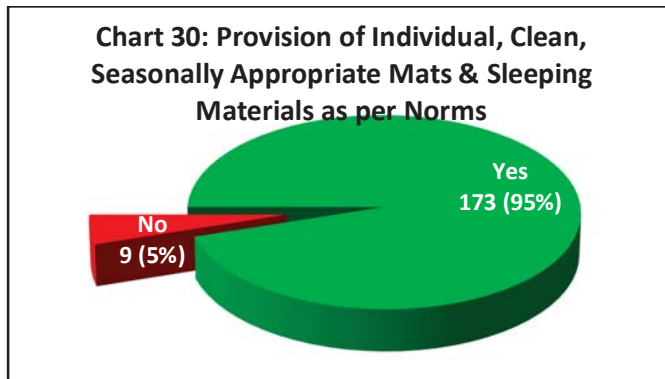


30. Provision of Individual, Clean, Seasonally Appropriate Mats & Sleeping Materials as per Norms

According to Rule 30(1) (JJ Model Rules, 2016), minimum standards for clothing and bedding shall be as per the scale and climatic conditions.

Response	Number	Percent
Yes	173	95.1
No	9	4.9
Total	182	100.0

Based on responses given by the management of CCIs.

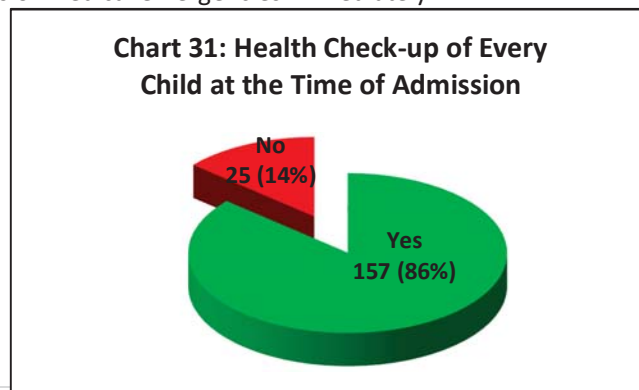


31. Health Check-up of Every Child at the Time of Admission

According to Rule 34[3(i)] (JJ Model Rules, 2016), every Child Care Institution shall arrange for medical examination of each child admitted in an institution by the Medical Officer within twenty-four hours of admission and in special cases or medical emergencies immediately.

Response	Number	Percent
Yes	157	86.3
No	25	13.7
Total	182	100.0

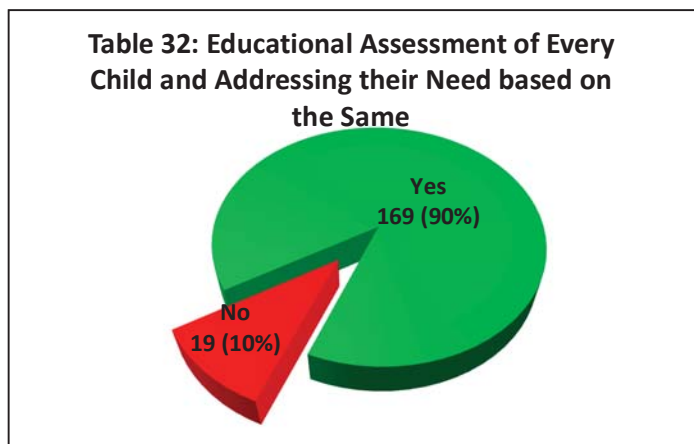
Based on responses given by the management of CCIs.



32. Educational Assessment of Every Child and Addressing their Need based on the Same

According to Rule 36(4) (JJ Model Rules, 2016), specialized trainers and experts shall be appointed to cater to the educational needs of children with special needs either physical or mental. Learning disorders shall be identified, assessed and reported in the Individual Care Plan. Further assistance shall be given to the child by trained professionals.

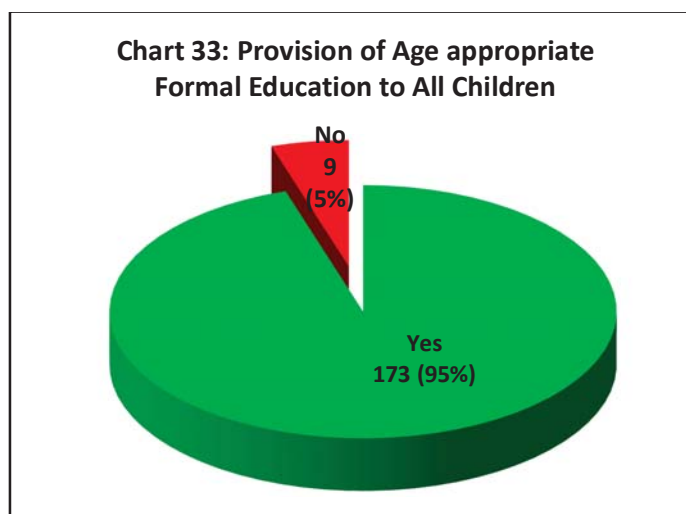
Table 32: Educational Assessment of Every Child and Addressing their Need based on the Same		
Response	Number	Percent
Yes	163	89.6
No	19	10.4
Total	182	100.0
Based on observation, records and responses given by the management of CCl.s.		



33. Provision of Age-appropriate Formal Education to All Children

According to Rule 36(1) (JJ Model Rules, 2016), every child care institution shall provide education to all children according to the age and ability, both inside the institution and outside, as per requirement.

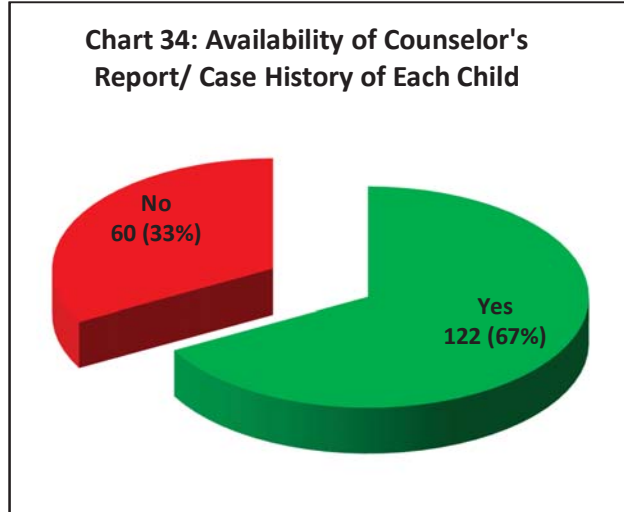
Table 33: Provision of Age appropriate Formal Education to All Children		
Response	Number	Percent
Yes	173	95.1
No	9	4.9
Total	182	100.0
Based on responses given by the management of CCl.s.		



34. Availability of Counselor's Report/ Case History of Each Child

- According to Rule 73[3(v)], every Child Care Institution shall maintain Case History form of each child under the JJ Model rules, 2016.
- According to Rule 73[3(vii)], every Child Care Institution shall maintain Counselor's report of each child under the JJ Model rules, 2016.

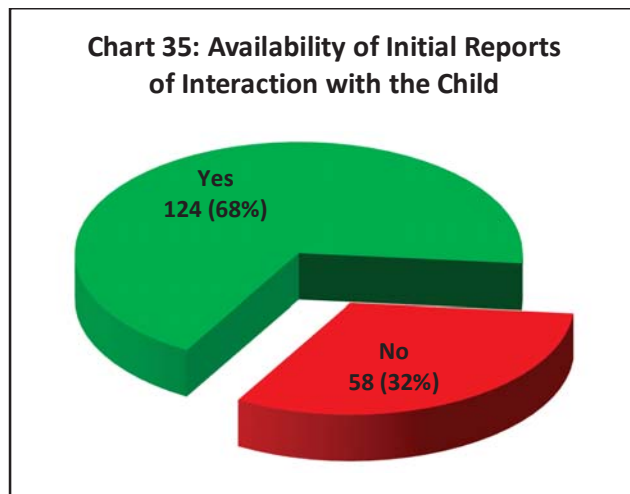
Response	Number	Percent
Yes	122	67.0
No	60	33.0
Total	182	100.0
Based on records of CCIs.		



35. Availability of Initial Reports of Interaction with the Child

According to Rule 73[1(ix)], every Child Care Institution shall maintain initial reports of interaction with the child under the JJ Model rules, 2016.

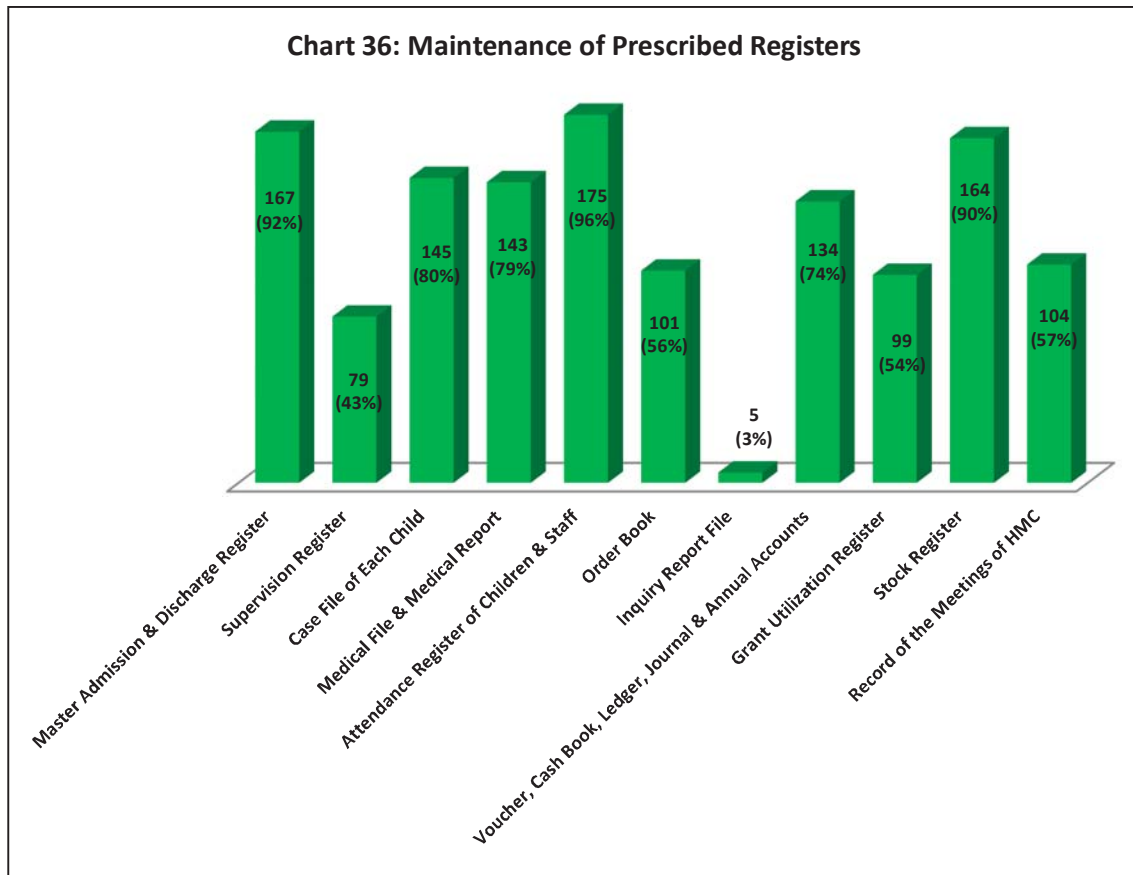
Response	Number	Percent
Yes	124	68.1
No	58	31.9
Total	182	100.0
Based on records of CCIs.		



36. Maintenance of Prescribed Registers

According to Rule 77(1), the Person-In-Charge shall maintain registers and forms under the JJ Model rules, 2016.

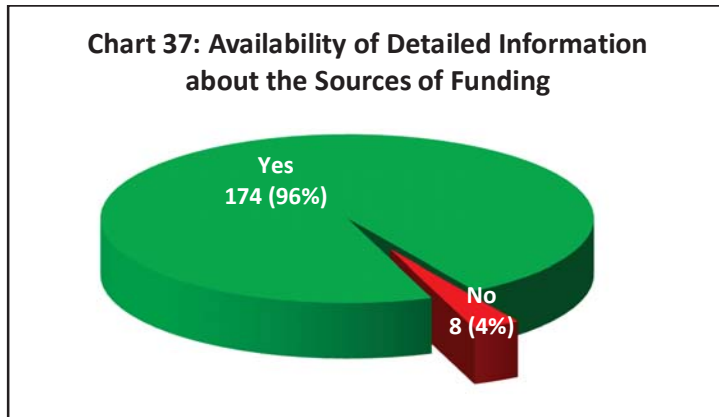
Prescribed Registers	Count	%
Master Admission & Discharge Register	167	91.8%
Supervision Register	79	43.4%
Case File of Each Child	145	79.7%
Medical File & Medical Report	143	78.6%
Attendance Register of Children & Staff	175	96.2%
Order Book	101	55.5%
Inquiry Report File	5	2.7%
Voucher, Cash Book, Ledger, Journal & Annual Accounts	134	73.6%
Grant Utilization Register	99	54.4%
Stock Register	164	90.1%
Record of the Meetings of HMC	104	57.1%
The total of percentages may exceed 100 due to multiple responses. Based on records of CCIs.		



37. Availability of Detailed Information about the Sources of Funding

Table 37: Availability of Detailed Information about the Sources of Funding		
Response	Number	Percent
Yes	174	95.6
No	8	4.4
Total	182	100.0

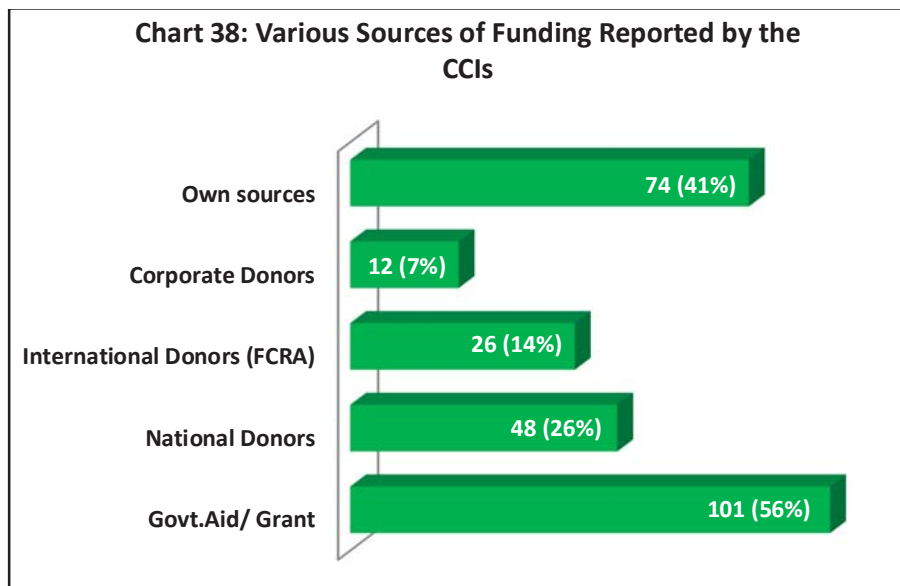
Based on records of CCIs.



38. Various Sources of Funding Reported by the CCIs

Table 38: Various Sources of Funding Reported by the CCIs		
Sources of Funding	Yes	
	Count	%
Govt. Aid/ Grant	101	55.5%
National Donors	48	26.4%
International Donors (FCRA)	26	14.3%
Corporate Donors	12	6.6%
Own sources	74	40.7%

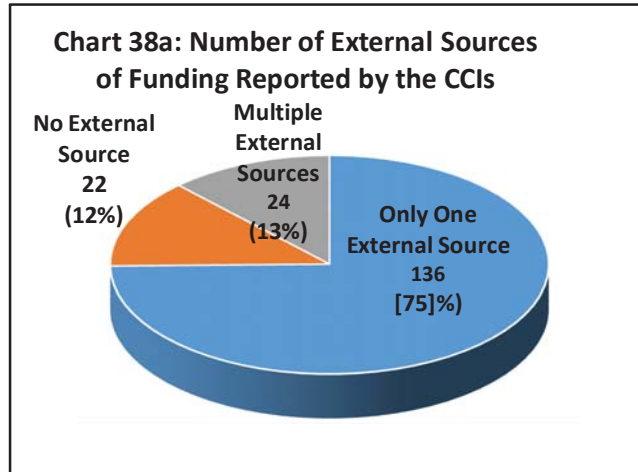
The total of percentages may exceed 100 due to multiple responses.
Based on responses given by the management of CCIs.



38a. Number of External Sources of Funding Reported by the CCI's

Table 38a: Number of External Sources of Funding Reported by the CCI's		
Number of External Sources of Funding	Yes	
	Count	%
Only One External Source	136	74.7
Multiple External Sources	24	13.2
No External Source (Run solely through Own Sources)	22	12.1
Total	182	100.0

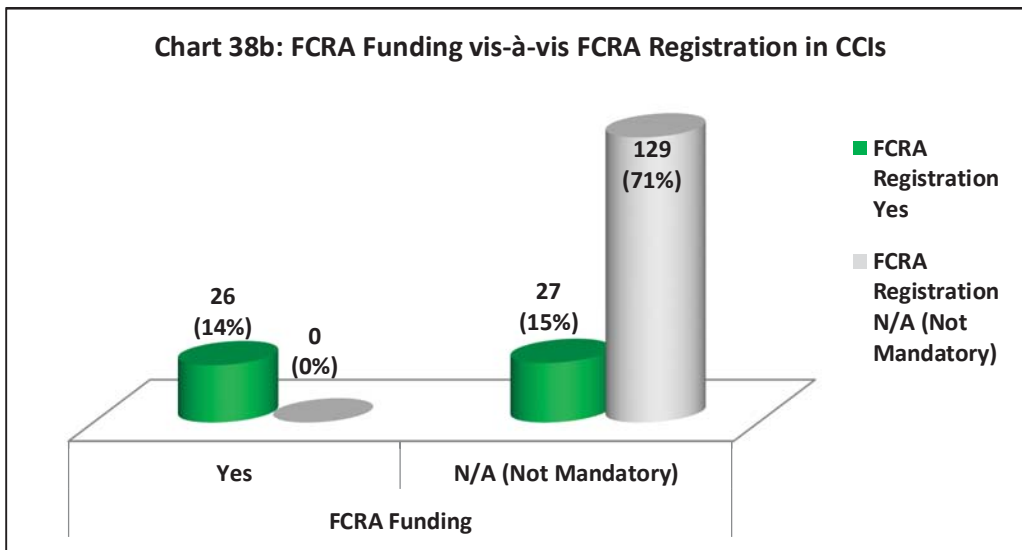
Based on records of CCI's.



38b. FCRA Funding vis-à-vis FCRA Registration in CCI's

Table 38b: FCRA Funding vis-à-vis FCRA Registration in CCI's				
		FCRA Funding		Total
		Yes	N/A (Not Mandatory)	
FCRA Registration	Yes	26	27	53
	N/A (Not Mandatory)	0	129	129
Total		26	156	182

Based on records of CCI's.

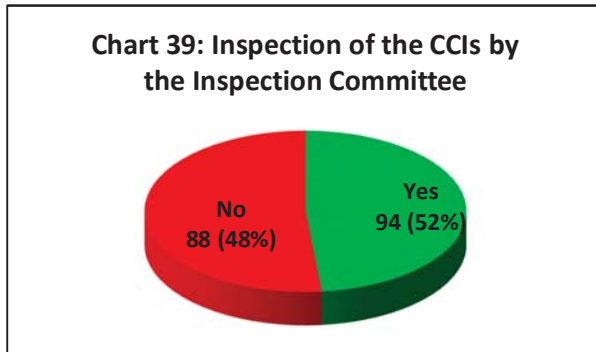


39. Inspection of the CCIs by the Inspection Committee

According to Rule 73(4), the State Inspection Committee shall carry out random inspections of the institutions housing children to determine whether such institution is housing children in need of care and protection.

Table 39: Inspection of the CCIs by the Inspection Committee		
Response	Number	Percent
Yes	88	48.4
No	94	51.6
Total	182	100.0

Based on records of CCIs.

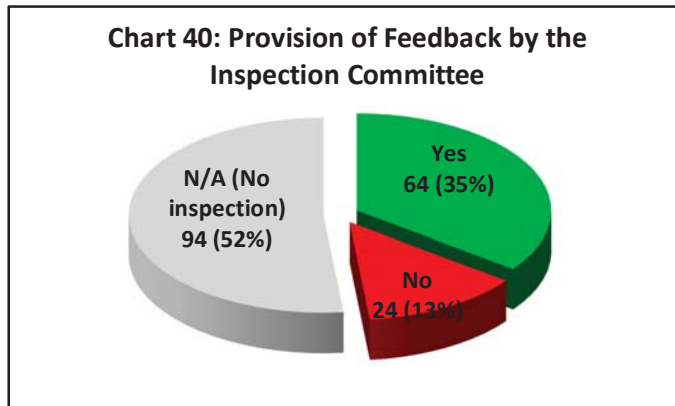


40. Provision of Feedback by the Inspection Committee

According to Rule 73(4), the State Inspection Committee shall carry out random inspections of the institutions housing children to determine whether such institution is housing children in need of care and protection.

Table 40: Provision of Feedback by the Inspection Committee		
Response	Number	Percent
Yes	64	35.2
No	24	13.2
N/A (No inspection)	94	51.6
Total	182	100.0

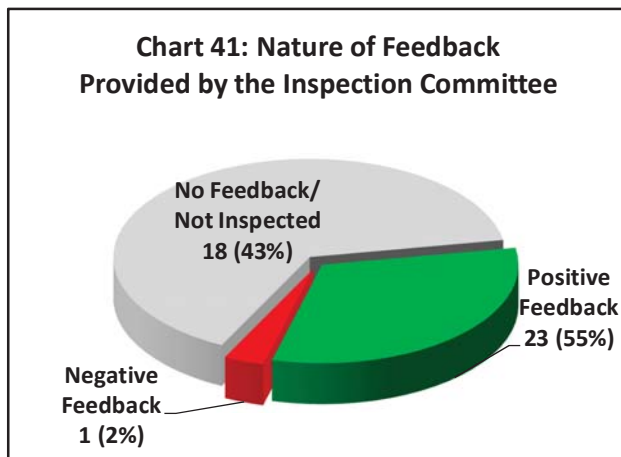
Based on records of CCIs.



41. Nature of Feedback Provided by the Inspection Committee

Table 41: Nature of Feedback Provided by the Inspection Committee		
Feedback	Number	Percent
Positive Feedback	58	31.9
Negative Feedback	6	3.3
No Feedback/ Not Inspected	118	64.8
Total	182	100.0

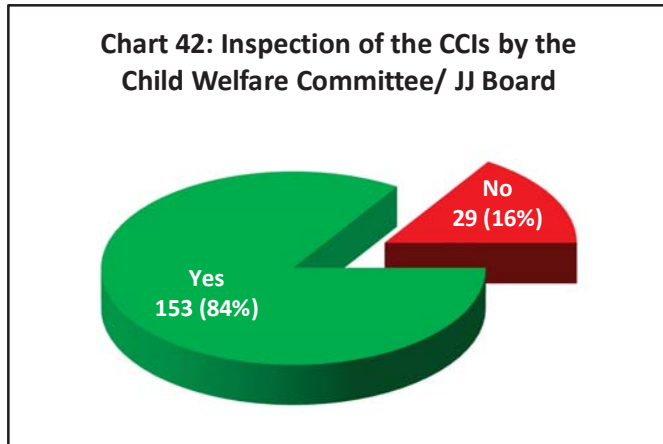
Based on observation and records of CCIs.



42. Inspection of the CCIs by the Child Welfare Committee/ JJ Board

Response	Number	Percent
Yes	153	84.1
No	29	15.9
Total	182	100.0

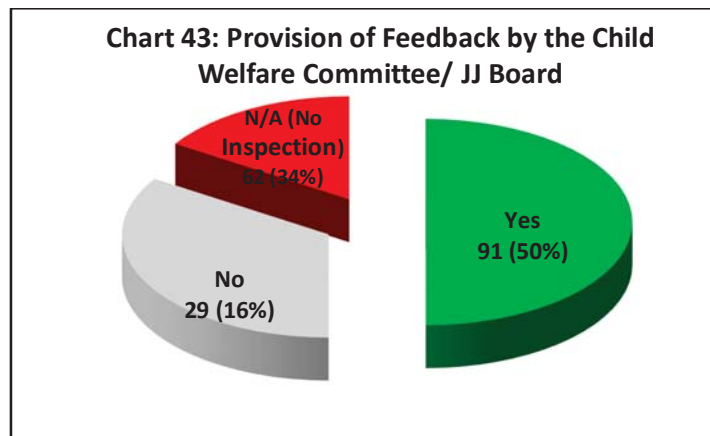
Based on records of CCIs.



43. Provision of Feedback by the Child Welfare Committee/ JJ Board

Response	Number	Percent
Yes	91	50.0
No	62	34.1
N/A (No Inspection)	29	15.9
Total	182	100.0

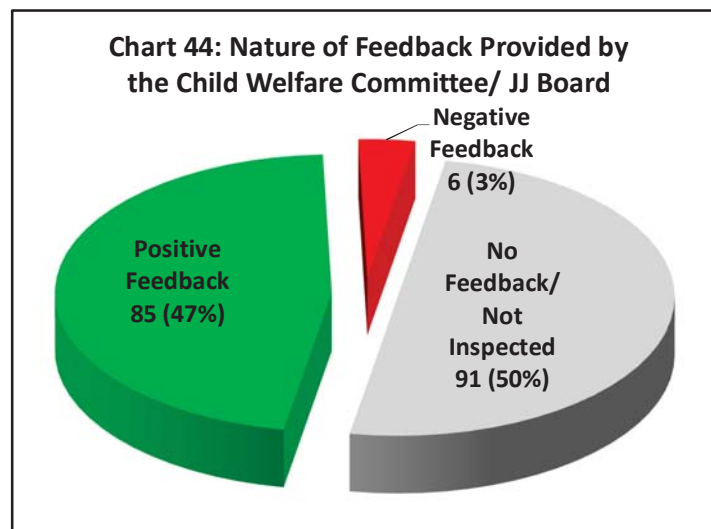
Based on records of CCIs.



44. Nature of Feedback Provided by the Child Welfare Committee/ JJ Board

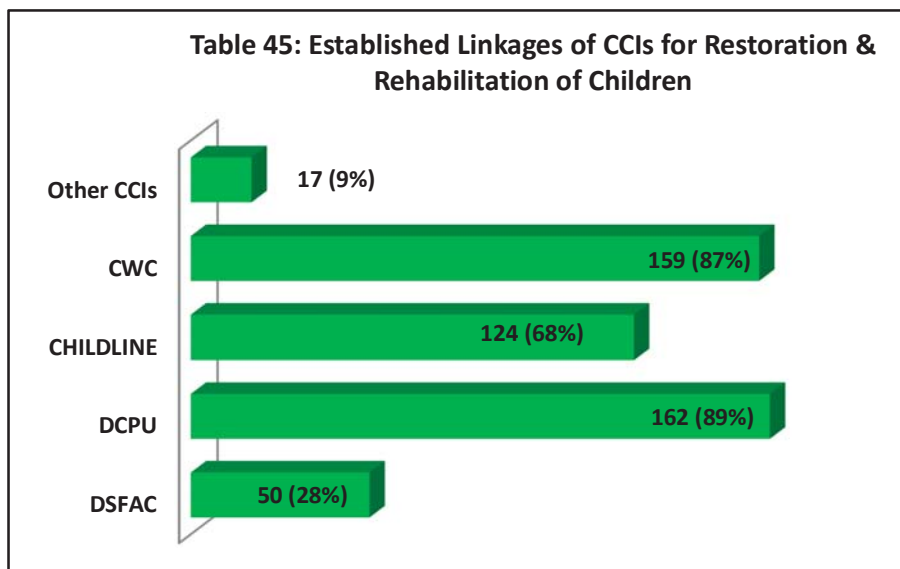
Feedback	Number	Percent
Positive Feedback	85	46.7
Negative Feedback	6	3.3
No Feedback/ Not Inspected	91	50.0
Total	182	100.0

Based on records of CCIs.



45. Established Linkages of CCIs for Restoration & Rehabilitation of Children

Table 45: Established Linkages of CCIs for Restoration & Rehabilitation of Children		
Linkage	Count	%
Other CCIs	17	9.3%
CWC	159	87.4%
CHILDLINE	124	68.1%
DCPU	162	89.0%
DSFAC	50	27.5%
The total of percentages may exceed 100 due to multiple responses. Based on records and responses given by the management of CCIs.		



* * * *

The first part of the document discusses the importance of maintaining accurate records of all transactions. It emphasizes that every receipt, invoice, and bill should be properly filed and indexed for easy retrieval. This not only helps in tracking expenses but also ensures compliance with tax regulations. The text further explains how digital record-keeping can significantly reduce the risk of errors and fraud compared to traditional paper-based systems.

Next, the document addresses the issue of budgeting and financial planning. It suggests that businesses should establish a clear budget at the beginning of each fiscal year. This budget should outline expected revenues, expenses, and profit margins. Regularly comparing actual performance against the budget allows management to identify areas where costs are exceeding expectations and take corrective action. The text also highlights the benefits of using budgeting software to automate calculations and generate reports.

The third section focuses on the management of accounts receivable and payable. It stresses the importance of timely invoicing and collection of payments to maintain healthy cash flow. Simultaneously, it advises on negotiating favorable terms with suppliers to delay payments without incurring penalties. The document provides practical tips on how to track the aging of receivables and payables, and how to follow up on overdue accounts effectively.

Finally, the document concludes by discussing the role of financial statements in decision-making. It explains that the balance sheet, income statement, and cash flow statement are essential tools for assessing the financial health of a business. These statements provide a clear picture of the company's assets, liabilities, and profitability over time. The text encourages business owners to review these statements regularly and consult with financial advisors when making major strategic decisions.